

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**ATTORNEY'S DOCKET NUMBER  
019957-015920USU.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
To be assigned: 607521138INTERNATIONAL APPLICATION NO.  
PCT/US2003/023155INTERNATIONAL FILING DATE  
July 23, 2002PRIORITY DATE CLAIMED  
July 23, 2002

## TITLE OF INVENTION

SYNTHESIS OF OLIGOSACCHARIDES, GLYCOLIPIDS, AND GLYCOPROTEINS USING BACTERIAL GLYCOSYLTRANSFERASES

## APPLICANT(S) FOR DO/EO/US

JOHNSON, Karl, F.; BEZILA, Daniel, James; SIMALA-GRANT, Joanne; TAYLOR, Diane; RASKO, David

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

10/521133

DT12 Rec'd PCT/PTO 12 JAN 2005

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

1. From the PCT/US2003/023155 File:

Publication WO 2004/009793 A2; Form PCT/IPEA/408 (Written Opinion); Form PCT/IB/332 (PCT Information Concerning Elected Offices Notified of Their Election); WO 2004/009793 A3 (International Search Report); PCT/IPEA/402 (Notification of Receipt of Demand); Transmittal and Form PCT/IPEA/401 (Chapter II Demand) with Article 34 Amendment; Substitute Pages 5, 5a, 6, 6a, 13, 34 and 40; Form PCT/IB/371 (Notification Relating to Declaration); Form PCT/IB/304 (Notification Concerning Submission of Transmittal of Priority Document); Form PCT/IB/301 (Notification of Receipt of Record Copy); Form PCT/IB/370 (Invitation to Correct Declaration); Transmittal and Response to Invitation to Correct Defects; Form PCT/RO/106 (Invitation To Correct Defects).

2. Amended Claim Set

3. From the PCT/US2003/023057 File:

Publication WO 2004/009838 A2; Form PCT/IB/306 (Notification of the Recording of a Change); Correspondence of Sept 30, 2004 reporting nationality of added inventors; Form PCT/IB/345 (Communication For Which No Other Form Is Applicable); Form PCT/IB/306 (Notification of the Recording of a Change); Transmittal and Form PCT/IPEA/401 (Chapter II Demand) with Article 34 Amendment; Substitute Pages 4, 5, 5a, 12, 34, 36, 36a, 37; Transmittal for 92-bis Addition of Co-Applicants; Form PCT/IB/371 (Notification Relating to Declaration); Form PCT/IB/301 (Notification of Receipt of Record Copy); Form PCT/IB/304 (Notification Concerning Submission of Transmittal of Priority Document); Form PCT/IB/370 (Invitation to Correct Declaration); Transmittal and Response to Invitation to Correct Defects; Form PCT/RO/105 (Notification of International Application Number and of the International Filing Date); Form PCT/RO/106 (Invitation To Correct Defects).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) To be assigned <b>10/521138</b>		INTERNATIONAL APPLICATION NO. PCT/US2003/023155		ATTORNEY'S DOCKET NUMBER 019957-015920US	
21. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00				\$ 300.00	
<input type="checkbox"/> b) Examination fee ..... \$200.00				\$	
<input type="checkbox"/> c) Search fee ..... \$500.00				\$	
<b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				\$ 300.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	44 - 20 =	24	x \$50.00	\$ 1,200	
Independent claims	7 - 3 =	4	x \$200.00	\$ 800	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		2	+ \$360.00	\$ 720	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 2,720	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 3,020	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 3,020	
Amount to be refunded:					\$
Amount to be charged:					\$ 3,020
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of \$ 3,020 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit Card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Beth L. Kelly TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111-3834 UNITED STATES OF AMERICA Ph.: (415) 576-0200 Fax: (415) 576-0300</p>					
<p><i>Beth L. Kelly</i> SIGNATURE</p>				<p>January 11, 2005 DATE</p>	
<p><u>51,868</u> REGISTRATION NUMBER</p>					